| Case 1:07-cv-08009-CM |
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| USDS SDNY |
| DOCUMENT |
| UNITED STATES DISTRICT COURT ELECTRONICALLY FILED |
| SOUTHERN DISTRICT OF NEW YORK X DOC #: |
| DATE FILED: 10/19/07 |
| William J. Jester |
| Plaintiff(s). 07 Civ. 8009 (CM) (HBP) |
| -against- |
| UBS Securities LLC. |
| |
| Delendant(s). |
| X |
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| CIVIL CASE MANAGEMENT PLAN |
| (for all cases except patent, IDEA and ERISA benefits cases, |
| and cases subject to the Private Securities Litigation Reform Act) |
| 1. This case is s not to be tried to a jury. |
| 2. Discovery pursuant to Fed.R.Civ.P. 26(a) shall be exchanged by 11 1 on |
| 3 No additional parties may be joined after 12/1 07 |
| 4. No pleading may be amended after 111 08 |
| 5. If your case is brought pursuant to 42 U.S.C. § 1983; In keeping with the United States Supreme Court's observation that the issue of qualified immunity should be decided before discovery is conducted, counsel representing any defendant who intends to claim qualified immunity must comply with the special procedure set forth in Judge McMahon's individual rules, which can be found at www.nysd.uscourts.gov . |
| Failure to proceed in accordance with the qualified immunity rules constitutes a waiver of the right to move for judgment on the ground of qualified immunity prior to trial. Please identify any party who is moving to dismiss on qualified immunity grounds. |
| 6 All discovery, including experi discovery, must be completed on or before 3/20/08 |

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| including expert discovery" means that the parties must select and disclose their experts' |
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| identities and opinions, as required by Fed. R. Civ. P. 26(a)(2)(B), well before the expiration of |
| the discovery period. Expen disclosures conforming with Rule 26 must be made no later than the |
| following dates: Plaintiff(s) expert report(s) by 21, 108 ; Defendant(s) |
| expert report(s) by 3/3/08 |

- 7. Judge McMahon's Rules governing electronic discovery apply automatically to this case. The parties must comply with those rules unless they supercede it with a consent order. The text of the order will be found at www.nvsd.uscouns.gov.
- 8. This cose has been designated to the Hon. United States Magistrate Length France for resolution of discovery disputes. Do not contact Judge McMahon about discovery disputes; go directly to your assigned Magistrate Judge. Discovery disputes do not result in any extension of the discovery deadline or trial-ready date, and Judge McMahon must approve any extension of the discovery deadline in non-proper cases. The Magistrate Judge cannot change discovery deadlines unless you agree to transfer the case to the Magistrate Judge for all purposes. Judge McMahon does not routinely grant extensions so counsel are warned that it they wait until the last minute to bring discovery disputes to the attention of the Magistrate Judge, they may find themselves precluded from taking discovery because they have run out of time.
- 9. A joint pre-trial order in the form prescribed in Judge McMahon's individual rules. together with all other pre-trial submissions required by those rules (not including in limine motions), shall be submitted on or before 5508. —. Following submission of the joint pre-trial order, counsel will be notified of the date of the final pre-trial conference. In limine motions must be filed within five days of receiving notice of the final pre-trial conference: responses to in limine motions are due five days after the motions are made. Cases may be called for trial at any time following the final pre-trial conference.
- 10. No motion for summary judgment may be served after the date the pre-trial order is due. The filing of a motion for summary judgment does not relleve the parties of the obligation to file the pre-trial order and other pre-trial submissions on the assigned date.
- 11. The parties may at any time consent to have this case wied before the assigned Magistrate Judge pursuant to 28 U.S.C. Section 636(c).

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Kevin T. Baine (0710)

WILLIAMS & CONVOLLY LLP

COUNTIL FOR UBS SECURITIES, LLC

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12. This scheduling order may be altered or amended only on a showing of good cause that is not foresecable at the time this order is optered. Counsel should not ussume that extensions will be greated as a notice of routine

Dated: October 16, 2007 New York, New York

Upon consent of the parties: [signatures of all counsel]

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for Plaintof William J. Jester

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Hon. Colleen McMahon

United States District Judge

10/19/07